



City of Edmonton Office of the City Auditor

Recommendation Follow-up Dashboard Report

As At October 30, 2018

There are 35 outstanding recommendations: 31 are not yet due and 4 are overdue.



1200, Scotia Place, Tower 1
10060 Jasper Avenue
Edmonton, AB T5J 3R8
Phone: 780-496-8300
edmonton.ca/auditor



Closed Recommendations

In the period since our last report (August 17, 2018) the administration **closed four recommendations**

| Project Name | Department | Recommendation |
|-------------------------|-------------------|---|
| 1. Corporate Culture | Employee Services | Rec 2 – Identify and Formalize Corporate Culture Office Governance Structure and Conduct Outreach |
| 2. City Pesticide Use | Citizen Services | Rec 2 – Compliance with Regulations |
| 3. Sand Recycling Audit | City Operations | Rec 2 – Performance Management System |
| 4. Waste Services Audit | City Operations | Rec 5 – Improve Business Case Process |



Overdue Recommendations

There are four overdue recommendations. They are all **less than one year overdue**.

| Project Name | Department | Recommendation | Months Overdue | Revised Due Date |
|---|----------------------------------|---|----------------|------------------|
| 1. Bus and LRT Review | City Operations | Rec 3 - Transit Funding and Fares | 10 | 12/31/2018 |
| 2. Continuous Auditing - Vendor Master File Audit | Financial and Corporate Services | Rec 4 - Vendor Master File Monitoring | 10 | 11/30/2018 |
| 3. Employee Business Expense Audit | Financial and Corporate Services | Rec 4 - Examine Improvement Opportunities | 4 | 12/31/2018 |
| 4. Waste Services Audit | City Operations | Rec 3 - Regulated versus Non-Regulated Allocation Methodology | 3 | 12/14/2018 |

Recommendations Not Yet Due

There are 31 recommendations that are **not yet due**.

| Project Name | Department | Recommendation | Months Until Due | Due Date |
|---|--|---|------------------|------------|
| 1. Edmonton Police Service Staffing Audit | Edmonton Police Services | Rec 1 - Assess Patrol Staffing Models | 2 | 12/31/2018 |
| 2. Edmonton Police Service Staffing Audit | Edmonton Police Services | Rec 2 - Improve Patrol Staffing Model Data Reliability and Accuracy | 2 | 12/31/2018 |
| 3. Edmonton Police Service Staffing Audit | Edmonton Police Services | Rec 3 - Reduce Patrol Constable Self-deployment | 2 | 12/31/2018 |
| 4. Edmonton Police Service Staffing Audit | Edmonton Police Services | Rec 4 - Investigative Support Bureau Staffing Process | 2 | 12/31/2018 |
| 5. Edmonton Police Service Staffing Audit | Edmonton Police Services | Rec 5 - Funding Formula Clarity | 2 | 12/31/2018 |
| 6. 2017 Municipal Election | Office of the City Clerk | Rec 2 - Voting Station Oversight | 2 | 12/31/2018 |
| 7. Corporate Culture | Employee Services | Rec 1 - Address Perceptions in Corporate Transformation | 2 | 12/31/2018 |
| 8. Waste Services Audit | City Operations | Rec 1 - Performance Management Framework Implementation | 2 | 12/31/2018 |
| 9. Waste Services Audit | City Operations | Rec 2 - Operational Performance Reporting | 2 | 12/31/2018 |
| 10. Waste Services Audit | City Operations | Rec 6 - Improve Project Management | 2 | 12/31/2018 |
| 11. Waste Services Audit | City Operations | Rec 8 - Preventative Maintenance Processes | 2 | 12/31/2018 |
| 12. Current Planning Reserve Audit | Urban Form and Corporate Strategic Development | Rec 1 - Document Roles and Responsibilities | 2 | 12/31/2018 |
| 13. Current Planning Reserve Audit | Urban Form and Corporate Strategic Development | Rec 2 - Revise Policies and Develop operational Procedures | 2 | 12/31/2018 |

| Project Name | Department | Recommendation | Months Until Due | Due Date |
|---|--|--|-----------------------------|-----------------|
| 14. Affordable Housing Strategies Review | Citizens Services | Rec 4 - Develop a Performance Management Framework | 2 | 12/31/2018 |
| 15. Investigation CPFS (eServices) Project 2010-2015 | Urban Form and Corporate Strategic Development | Rec 1 – Improve Fraud Awareness | 2 | 12/31/2018 |
| 16. Investigation CPFS (eServices) Project 2010-2015 | Urban Form and Corporate Strategic Development | Rec 2 – Update Contract Management Practices | 2 | 12/31/2018 |
| 17. Community Projects in Neighbourhood Parks Process Audit | Integrated Infrastructure Services | Rec 1 – Determine the Program Owner | 3 | 1/31/2019 |
| 18. Waste Services Audit | City Operations | Rec 4 - Strategic Update | 4 | 2/28/2019 |
| 19. City Pesticide Use Audit | Citizens Services | Rec 3 - Public Communications | 5 | 3/31/2019 |
| 20. Affordable Housing Strategies Review | Citizens Services | Rec 1 - Improve Financial Tracking | 5 | 3/31/2019 |
| 21. Affordable Housing Strategies Review | Citizens Services | Rec 2 - Review Developer Sponsored Affordable Housing Program | 5 | 3/31/2019 |
| 22. Affordable Housing Strategies Review | Citizens Services | Rec 3 - Comply with Grant and Other City Contributions Directive | 5 | 3/31/2019 |
| 23. City Pesticide Use Audit | City Operations | Rec 1 - Integrated Pest Management Policy Update | 8 | 6/30/2019 |
| 24. Investment Policy Review | Financial and Corporate Services | Rec 1 - Revise Investment Policies | 8 | 6/30/2019 |
| 25. Information Technology – Disaster Recovery Planning | Financial and Corporate Services | Rec 2 - <i>In Private</i> | 8 | 6/30/2019 |
| 26. Affordable Housing Strategies Review | Citizens Services | Rec 5 - Update Policies | 8 | 6/30/2019 |
| 27. Community Projects in Neighbourhood Parks Process Audit | Integrated Infrastructure Services | Rec 2 – Develop a Performance Management Framework | 14 | 12/31/2019 |

| Project Name | Department | Recommendation | Months Until Due | Due Date |
|---|--|---|-----------------------------|-----------------|
| 28. Community Projects in Neighbourhood Parks Process Audit | Integrated Infrastructure Services | Rec 3 – Improve Program | 14 | 12/31/2019 |
| 29. Current Planning Reserve Audit | Urban Form and Corporate Strategic Development | Rec 3 - Review and Assess Service Level Performance | 14 | 12/31/2019 |
| 30. 2017 Municipal Election | Office of the City Clerk | Rec 1 - Information Technology Support | 26 | 12/31/2020 |
| 31. Information Technology – Disaster Recovery Planning | Financial and Corporate Services | Rec 1 - Fully Implement the Disaster Recovery Program | 39 | 12/31/2021 |

This project was conducted in accordance with the
*International Standards for the Professional Practice of
Internal Auditing*