

2023-2026 Open Recommendations - Office of the City Auditor (as of April 3, 2023)

Department	Audit Title	Recommendation	Estimated Implementation Date	Is there a Related Budget Impact?	If yes, funded or unfunded in 2023-2026 budget	Additional Notes
Community Services	21492 - Enforcement Services Management and Support	Rec 1 - Performance measures	6/30/2023	No		
Community Services	21492 - Enforcement Services Management and Support	Rec 2 - Update policies and procedures	6/28/2024	No		
Community Services	21492 - Enforcement Services Management and Support	Rec 3 - Dispatch and GPS systems	12/31/2023	Yes	Funded (Capital Profile CM-18-1514 - \$2.0 million and operating service package - \$2.7 million over 2023-2026)	Funded in capital profile Technology Implementation - Growth CM-18-1514 (Computer Aided Dispatch (CAD) for Peace Officers and General Enforcement) and operating service package Computer Aided Dispatch Implementation. OCT is engaged in project and are planning to hire a tech vendor by June 2023 with implementation of the CAD system by December 2023.
Community Services	21494 - City Governance of Fort Edmonton Park	Rec 1 - Update governance documents	12/31/2024	No		
Community Services	21494 - City Governance of Fort Edmonton Park	Rec 2 - Clarify roles and responsibilities of various stakeholders	4/30/2024	No		
Community Services	21494 - City Governance of Fort Edmonton Park	Rec 3 - Clarify City's funding approach of FEMCo	12/31/2024	No		
Community Services	21494 - City Governance of Fort Edmonton Park	Rec 4 - Develop detailed service agreements	9/1/2023	No		
Community Services	22500 - City's Response to Homelessness	Rec 1 - Develop a corporate-wide homelessness plan	12/31/2023	No		
Community Services	22500 - City's Response to Homelessness	Rec 2 - Assign accountability for homelessness plan	12/31/2023	No		
Community Services	22500 - City's Response to Homelessness	Rec 3 - Develop performance measures and evaluate the homelessness plan	12/31/2023	No		
Financial and Corporate Services	17429 - Information Technology - Disaster Recovery Planning	Rec 1 - Fully implement the Disaster Recovery Program	06/30/2023	Yes	Funded (Capital Profile 19-18-1901 Information Security and Disaster Recovery Enhancements - \$3.0 million)	Funded under profile 19-18-1901 Information Security and Disaster Recovery Enhancements. Implementation is complete and it is anticipated that the recommendation will be closed by June 30, 2023 and no additional funding will be required.
Financial and Corporate Services	20485 - IT General Controls - Process Ownership	Rec 1 - Update and fully implement IT governance framework	6/30/2024	No		
Financial and Corporate Services	21493 - Enterprise Performance Management	Rec 1 - Gather performance measurement data	9/30/2023	No		
Financial and Corporate Services	21493 - Enterprise Performance Management	Rec 2 - Monitor departments	9/30/2023	No		
Financial and Corporate Services	21493 - Enterprise Performance Management	Rec 3 - Regularly evaluate performance measures	3/31/2024	No		
Financial and Corporate Services	21493 - Enterprise Performance Management	Rec 4 - Enhance operational and public reporting	12/31/2023	No		
Office of the City Manager	22503 - Council and Committee Reporting Review	Rec 1 - Strengthen Council report writing instructions	7/31/2023	No		

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Office of the City Manager	22503 - Council and Committee Reporting Review	Rec 2 - Improve readability and fully support information in Council reports	2/28/2024	No		
Urban Planning and Economy	21483 - Historical Resources Management Program	Rec 1 - Update guiding documents	12/31/2024	Yes	Unfunded (Service Package not approved for 2023-2026: Historic Resource Management Strategy \$0.8 million over 2023-2026)	As the service package was not funded, the team has been in contact with the Office of the City Auditor to determine how to fulfill this audit requirement without additional budget. The proposed solution is to retire the Historic Resource Management Plan and only update Policy C450B, which can be completed within the existing base budget.
Urban Planning and Economy	21483 - Historical Resources Management Program	Rec 3 - Assess historic resources' condition	3/31/2023	Yes	Unfunded (Service Package not approved for 2023-2026: Historic Resource Management Strategy \$0.8 million over 2023-2026)	As the service package was not funded, the team has been in contact with the Office of the City Auditor to determine how to fulfill this audit requirement without additional budget. The proposed solution is to change the process to use existing resources to conduct up to ten site visits annually, which can be completed within the existing base budget.
Urban Planning and Economy	21491 - Arterial Roadway Assessment	Rec 1 - Update the arterial roadway assessment guidance documents	3/31/2023	No		
Urban Planning and Economy	21491 - Arterial Roadway Assessment	Rec 2 - Document the methodology for and enhance reviews of the arterial roadway assessment calculations	3/31/2023	No		
Urban Planning and Economy	22502 - Safety Codes Permits and Inspection Services	Rec 1 - Clarify minimum number of inspections	9/30/2023	No		
Urban Planning and Economy	22502 - Safety Codes Permits and Inspection Services	Rec 2 - Expand risk-based inspections	9/30/2023	Yes	Unfunded (analysis underway)	Budget would be required to expand the capacity of the corporate data science team to address this recommendation.
Urban Planning and Economy	22502 - Safety Codes Permits and Inspection Services	Rec 3 - Update standard operating procedures and checklists	6/30/2023	No		
Urban Planning and Economy	22502 - Safety Codes Permits and Inspection Services	Rec 4 - Enhance performance measures	9/30/2023	No		
Urban Planning and Economy	22502 - Safety Codes Permits and Inspection Services	Rec 5 - Improve efficiency for permit application and inspections	9/30/2023	Yes	Funded (Funded through Planning and Development Business Model)	Improvements to service delivery are part of the branch's ongoing processes, this is reflected in the base budget. Any additional resources that are identified to enhance service levels will be submitted to ELT for consideration. Costs associated with improved efficiency for permit applications and inspections are funded through the Planning & Development Business Model.